

Review

# Olfactory neuronal dynamics in behaving animals

Dmitry Rinberg\*, Alan Gelperin

Monell Chemical Senses Center, 3500 Market St., Philadelphia, PA 19104, United States

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## Abstract

More than 50 years have passed since the first recording of neuronal responses to an odor stimulus from the primary olfactory brain area, the main olfactory bulb. During this time very little progress has been achieved in understanding neuronal dynamics in the olfactory bulb in awake behaving animals, which is very different from that in anesthetized preparations. In this paper we formulate a new framework containing the main reasons for studying olfactory neuronal dynamics in awake animals and review advances in the field within this new framework.

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**Keywords:** Mitral cells; Olfactory bulb; Behavior; Learning; Sensory processing

## Contents

1. Introduction .....	454
2. Awake and anesthetized brains are different .....	455
3. Learning .....	457
4. Behavioral correlates of neural processing .....	457
5. Conclusion .....	458
Acknowledgements .....	458
References .....	458

## 1. Introduction

The vast majority of our knowledge about the functionality of different sensory systems has been obtained in anesthetized preparations. There is, however, increasing interest in studying sensory processing in the awake animal. Recording single units in the awake animal is the standard paradigm in primate vision [1–4], which also provides a model for the development of rigorous psychophysical methods [5] and neural data analysis in olfaction. There are three main reasons to study sensory information processing in the awake behaving animal:

- (1) the neuronal dynamics in awake and anesthetized brains is very different: in the awake state feedback from higher brain areas is fully functional while it is suppressed in the anesthetized state. This feedback includes efferent projections from other brain areas, neuromodulatory control and input

modulation (e.g., eye movements in vision and sniffing in olfaction);

- (2) recordings in awake animals allow real-time tracking of the effects of learning and other experience-related changes in neural processing;
- (3) in well-designed behavioral experiments, the animal can report the result of sensory information processing.

Recent interest in olfactory sensory processing has been stimulated by progress in molecular genetics [6–8] and the use of genetic model organisms in neurobiology, specifically mice, for which olfaction has very high behavioral relevance.

In this review we consider neural correlates of olfactory behavior. We limit ourselves to the direct study of neuronal responses in awake behaving animals, and specifically we consider information processing in the mammalian olfactory system at the level of the main olfactory bulb (MOB). Obviously, in the awake behaving animal any separation of the sequential stages of information processing is problematic, as many brain areas interact in shaping and controlling neural activity and behavior.

\* Corresponding author. Tel.: +1 215 746 0261; fax: +1 215 898 2084.  
E-mail address: drinberg@monell.org (D. Rinberg).

The MOB is subject to massive feedback from higher brain areas [9], and this feedback must be taken into account in the analysis of neural dynamics in the bulb.

There are a few recording techniques, with different temporal and spatial resolution, that are used to study neuronal activity in the olfactory bulb in the awake animal: EEG with surface electrodes [10], local field potential (LFP) recordings in the bulb [11,12], single and multiunit recordings [13–17], and recently fMRI in humans [18]. We will focus on single and multi-neuron recordings as neural correlates of odorant-elicited behavior recorded from cellular elements of the main olfactory bulb in the awake animal.

The mammalian olfactory system receives input from olfactory receptor neurons (ORNs) located in the nasal epithelium over which odorant-bearing air is moved by respiration or active sampling during sniffing [19,20]. All ORNs expressing the same odorant receptor converge onto one or two glomeruli in the MOB, where terminal axons of ORNs make excitatory synapses with apical dendrites of mitral/tufted cells, the output elements of the MOB. Multiple layers of lateral inhibitory connections allow center-surround suppression via periglomerular [21,22] and granule cells [23,24].

Of the cellular elements in the olfactory bulb, the mitral cells have the largest cell bodies aligned in a well-defined cell layer and therefore are most amenable to stable extracellular recording with high signal-to-noise ratio [25–27]. One of the earliest *in vivo* studies of olfactory processing in awake animals used chronically implanted electrodes in the olfactory bulbs of awake rabbits [15].

The location of the MOB at the rostral pole of the forebrain and its distinctive layering make it amenable to extracellular recording approaches. During a penetration normal to the surface, mitral cells and adjacent layers can be identified based on large-amplitude unit activity [28,29]. In the granule cell layer, in contrast, units are encountered infrequently and have small amplitudes. Typically, electrode tip position is verified by post-mortem lesion analysis [17].

We will now examine in more detail the three factors listed above that provide the main justification for studying neuronal dynamics in the awake behaving animal.

## 2. Awake and anesthetized brains are different

The first evidence that MOB activity is different in anesthetized and awake animals can be found in the pioneering work of Lord Adrian: “In very deep anaesthesia when the bulb is quiet, a moderate olfactory stimulus sets up a discharge of impulses in the mitral axons at each inspiration and there are no impulses between. . . . In less deep anaesthesia the olfactory discharges appear against a background of continuous irregular activity and as the anaesthesia lightens the continuous activity becomes more and more prominent until it may be no longer possible to detect any change due to the stimulus” [30]. Later he wrote: “We need more information also about the behaviour of the organ (olfactory bulb) when there is no anaesthetic to suppress spontaneous activity” [31].

In recent work Rinberg et al. [32] recorded the activity of the same mitral cells in awake behaving and anesthetized mice, and demonstrated dramatic differences in the responses of mitral cells in these two states. First, the spontaneous activity of the mitral cells is much higher in the awake mouse. Second, the odor responses are much sparser and weaker and sometimes have the opposite sign in awake mice.

What is known about the neuronal dynamics in the olfactory bulb in awake animals? Surprisingly, the main question that remains unknown is how odor stimuli are coded in the olfactory bulb of the awake animal. Mitral cells are the primary recipients of information from the ORNs. In the anesthetized state mitral/tufted cells are selectively activated by odors [33–38]. There are only a few reports of odor responses of mitral cells in the awake animal [14–17,32,39].

Moulton [15] found that odor stimulation caused very small changes in the firing rates of olfactory bulb neurons (presumably mitral/tufted cells). The odor response was usually masked by high spontaneous activity. Moreover, he measured odor responses in the bulb of the awake rabbit following unilateral transection of the connection between the MOB and higher olfactory areas. Odor responses which previously were hidden in the high background activity were revealed in recordings from the side of the MOB disconnected from higher brain areas: “On the side of the transection, spike discharges in response to odor stimulation stand out clearly against the low amplitude background activity” [15]. He argued that the high spontaneous activity was a result of efferent control of the MOB. This observation is similar to that made by Adrian [30] in experiments with the effects of deep and light levels of anesthesia: the olfactory bulb was much more active without anesthesia and odor responses were hidden by high spontaneous activity.

These observations raise an interesting question: if in the awake animal the MOB mitral/tufted cell odor-elicited firing rate responses are masked by high spontaneous activity, how is information about odor transmitted to other brain areas?

A few hypotheses have been discussed in the literature. In experiments on odor responses in awake animals only a small population of mitral/tufted cells and a limited number of odors were sampled. Perhaps there exist a small number of mitral cells with strong odor responses which are hard to find [17,32]. An alternative explanation mentioned in several papers dealing with awake odor responses [15,17,31,32,39] is that odor information is transmitted via the collective dynamics of multiple neurons. Brody and Hopfield [40] proposed a model in which odor information is transmitted by synchronous activity of mitral cells getting their inputs from different glomeruli. In their model the high spontaneous rate of mitral cells is advantageous for quickly changing the synchronous ensembles of cells transmitting odor information (see also [41,42]). Another possibility is that odor recognition associated with odor-guided behavior is sustained by neural assemblies belonging to a set of reciprocally connected structures including olfactory bulb, piriform cortex, amygdala and orbito-frontal cortex. In this perspective what is observed at any level reflects both intra-areal and inter-areal processing [43].

The first attempt to analyze odor responses in the awake animal beyond simple comparison of firing rates was done in experiments by Bhalla and Bower [16]. Rats were passively exposed to different odor stimuli for periods of 5 s, while mitral cell spiking activity was recorded by implanted metal electrodes. Bhalla and Bower used several measures to compare mitral cell odor responses to their activity without odors: (1) mean-firing rate, similar to previous work; (2) phasic responses, the shape of the poststimulus histogram with 1 s bin size during stimulus presentation; and (3) respiration related responses, as deduced from the shape of the spike count histogram at different phases of the sniff cycle during odor exposure. The authors found odor responses and large non-odor specific variability in all measures, and argued for distributed odor coding.

A significant step forward is represented by the work of Kay and Laurent [17]. These authors recorded odor responses from the MOB of rats trained to discriminate two odors. For the first time it was directly demonstrated that the firing rate of mitral/tufted cells which were shown to respond to an odor stimulus was modulated by behavioral events associated with odor presentation. They found that only 11% of all recorded mitral/tufted cells responded during odor sampling by the rat. Two possible reasons for this low probability to find an odor response were proposed: first, the chosen odors might excite small subsets of mitral cells, and second, the odors are coded by dynamical states of multineuron firing patterns that are undetectable by measuring single cell firing rates.

Our recent work [32] directly confirmed the sparseness of mitral cell firing rate odor responses. Recording from the same mitral cell in anesthetized and awake states in the mouse olfactory bulb demonstrated that most of the cells lost their odor sensitivity at the transition from anesthetized to awake state.

Difficulties in finding odor responses in awake behaving animals led many researchers to focus on the factors which influence neuronal dynamics in the MOB, and in this way try to understand the function of this structure.

Early work with implanted extracellular electrodes in rabbits and rats showed that presumptive mitral/tufted cell activity, both multiunit and single unit, was modulated by hunger level. In rats habituated to having a single 2-h daily meal, multiunit activity in the MOB in response to food odors was increased before the daily meal compared to the same rats after their daily meal [28,44–46], reviewed in [47]. The higher excitability towards food odor in hungry rats resulted from a slower rate of habituation following repeated presentation. Rats fasted for 22 h for the first time show an enhanced food-odor reactivity of mitral cells compared to rats after 15 days of food restriction [48]. These differential effects of phasic and chronic food deprivation may be mediated by centrally-modulated release onto mitral cells of the feeding-related peptide orexin A [49]. Nutritional state also modulates the power in food odor-elicited local field potential oscillations in the MOB in the 15–30 Hz beta band [50], an effect dependent on feedback to the MOB from higher centers [12].

Other factors discussed in the literature are reproductive state and social interactions, although these factors have not been studied in the awake animal. Activation of units in the mitral

cell layer of anesthetized rats by vaginocervical stimulation is specific to animals in proestrous-estrous [51]. This may be due to metamodulatory effects of estrogen level changes during the estrous cycle on noradrenaline and the glutamate/NO signaling pathway in the MOB [52]. Social transmission of food preference is a natural odor–odor association task [53,54] likely to be dependent on cholinergic modulation in the MOB [55–58] from basal forebrain cholinergic neurons originating in the diagonal band of Broca [59].

Results obtained in the awake animal point out the importance of feedback connections to the MOB. There are three main types of feedback connections: (1) direct efferent fibers from higher brain areas (e.g., piriform cortex) to the MOB; (2) neuromodulatory control (e.g., by cholinergic inputs) to the bulb; and (3) input modulation via control of breathing and sniffing. The latter feedback is often missed in neurobiological descriptions of the system, but it has a direct influence on the processing of olfactory information.

There is direct anatomical evidence for the presence of neuronal feedback to the bulb. Although numbers are not available, the projection from piriform cortex back to the olfactory bulb is much heavier than the forward projection from mitral/tufted cells to the piriform cortex [60,61]. Unilateral inactivation of the medial part of the olfactory peduncle by lidocaine infusion eliminated most of the feedback influences on the MOB and reduced the amplitude of odor learning-induced beta oscillation while enhancing gamma oscillations in the MOB [62].

Odorant-related behavioral modulations of MOB unit activity undoubtedly involve one or more of the numerous neuromodulatory inputs to the MOB from higher centers [63,64], particularly noradrenergic modulation, which has been shown to play a role in learned odor processing [65,66] and in a variety of other sensory processing systems [67,68]. Noradrenergic neurons in the locus coeruleus are activated by reward expectation during a Go/NoGo odor reward task [69]. Cholinergic modulation from the diagonal band of Broca [59,70,71] very likely also plays a role in behavioral modulation of MOB units. There is considerable heterogeneity between glomeruli in the density of cholinergic, noradrenergic and serotonergic [72] innervation from higher centers [73]. Dopaminergic modulation of MOB units has been shown to play a role in odor discrimination learning [74]. Increasingly the dissection of the role of intrinsic neurotransmitters and extrinsic neuromodulators will be done by spatially controlled switching of receptors, as in the recent demonstration of the differential role of AMPA receptors in olfactory discrimination and olfactory memory [75].

Feedback pathways in mammals link olfaction to the medullary centers controlling breathing [76], so that respiratory rate can be altered to optimize odorant sampling by sniffing [19]. Sniffing rate has direct effects on the access of highly mucous-soluble odorants to the interior recesses of the olfactory epithelium [77,78] that can be directly reflected in the accuracy of olfactory discrimination [79]. Sniffing rate evolves during odor learning, perhaps to improve behavioral performance [80,81]. Mitral/tufted cells are indirectly input modulated by changes in odorant access to receptors during nasal breathing and sniffing

[46,82,83]. The extent to which the sniff is a natural unit of olfactory perception and decision making is a topic of active research [84].

Mitral/tufted cells are the recipients of input from ORNs, thus in the absence of any feedback, they can send to the brain only information about the stimulus. However, as just described, mitral/tufted cells are involved in much broader neural computations, based on the additional input they receive via feedback. Moulton [15] directly demonstrated the differences in odor responses between the bulb connected to higher brain areas, i.e., with fully active feedback, and the bulb surgically isolated from feedback from higher brain centers. Pager [46] showed the modulation of mitral cell activity by the nutritional state of the animal, and by sniffing rate [14,85]. Karpov [39] and Kay and Laurent [17] were the first to measure the modulation of mitral cell responses by behavioral events and learning in the awake behaving animals.

Kay and Laurent [17] trained rats to associate odors with either sucrose (S+) or quinine (S−) solutions. Additional cues, like onset of a signal light or opening of the door to the odor port, signaled odor delivery. The authors reported robust mitral cell responses to these additional non-odor cues, on the trials when the rat was expecting that the odor stimulus had predictive value, (S+) or (S−). So, firing rate responses were modulated by non-olfactory cues associated with odor delivery when odor might signal a reward. Such modulations are possible only in awake behaving animals and may be explained by efferent and neuromodulatory inputs to the bulb [86–88]. These results emphasize the fact that the determinants of mitral cell activity in behaving animals are very similar to those observed in higher-level areas of olfactory processing, such as piriform cortex and orbito-frontal cortex [89].

Some of the modulatory effects observed by Kay and Laurent may be explained by changes of the breathing or sniffing pattern contingent on actual or expected odor delivery. The odor expectation may cause an increased sniffing rate and stronger activation of the receptors, even before delivery of the expected odor. The reflection of such ‘feedforward’ stimulation from sniffing will be seen as a modulation of mitral cell firing rate. However, we suggest that such an input to the bulb in an awake behaving animal is as important as direct neuronal and neuromodulatory feedback, and should be considered as feedback due to input modulation. Centrally initiated input modulation may be part of the strategy of animal odor perception. When solving an odor-related task, such as odor discrimination, detection, or navigation, an animal starts sniffing, and olfactory bulb dynamics is influenced by this feedback from input modulation. Also, from the point of view of the neurons in higher olfactory centers, which are recipients of information from the mitral/tufted cells, it may not matter what caused mitral/tufted cell modulation, sniffing or efferent feedback. The cells in higher centers need to interpret the mitral/tufted cell firing rate modulation and extract odor and behavior-related information coming from multiple mitral/tufted cells.

The importance of active aspects of olfactory perception and its reflection in the neuronal dynamics was already emphasized in the work of Adrian [31].

### 3. Learning

The olfactory system is extremely plastic [90–92]. There is ample indirect evidence for learning-induced changes in the MOB based on measurements of LFPs in awake behaving rats [11,12,93,94] and EEG in awake rabbits [10,95,96]. Network architecture within the MOB gives rise to rhythmic activity in the gamma band (40–80 Hz) and beta band (12–30 Hz) which can be observed in slices [97,98] as well as in behaving animals [10,11,50,86]. Rhythmic respiration results in theta band (4–8 Hz) activity [99]. There is strong evidence that associative olfactory learning modifies odor-induced oscillatory responses in the MOB. Surprisingly, earlier studies in rabbit revealed changes in amplitude in gamma band responses [10] while recent experiments in rats showed that olfactory sampling is associated with a depression of the ongoing gamma activity and with emergence of an odor-locked beta response. Learning amplified both gamma depression and the beta response [11,12]. The experimental demonstration of the relation between learning-induced changes in beta and gamma oscillation and simultaneously recorded mitral/tufted cell unit responses remains to be elucidated [100].

A particularly well-developed analysis is available for odor preference learning by rat pups, which leads to changes in mitral/tufted cell excitability as assessed in anesthetized preparations [101,102]. A detailed norepinephrine-dependent molecular mechanism for coincidence detection by mitral cells has been proposed [103,104]. Mitral cell recordings in awake behaving rat pups will be technically challenging but extremely informative, particularly given the lack of high frequency LFP oscillations in the MOB of these very young animals [105].

Kay and Laurent [17] showed that learning modulates responses to odors. In their experiments rats first were exposed to odors, which carried no information about reward. At the next stage rats learned to associate an odor with either positive or negative reinforcement. Responses of the same cell to the same two odors at these two stages were different. This observation does not identify the locus of new odor memory storage and learning. As we have already mentioned, mitral cell responses are modulated by behavior. If the rat knew the odor association and this memory was stored in higher brain areas then the odor responses recorded in the MOB by Kay and Laurent could be altered by learning due to feedback modulation. It would be very informative to know if learning-associated changes in mitral/tufted cell responses like those recorded by Kay and Laurent [17] survive application of anesthetics and/or disconnection of the MOB from higher centers as shown for beta oscillatory responses [62,106].

Additional experiments on learning and establishing a new stimulus reward association with precise timing analysis of neuronal and behavioral events are required to understand the neural correlates of olfactory learning.

### 4. Behavioral correlates of neural processing

Behavioral experiments provide a unique opportunity to ask questions about the correlation between neural processes related to stimulus perception and behavioral outcomes of such neural

processes. In other words, an experimenter may ask questions about the results of sensory information processing. Humans may be the best subjects for this work, however, there is still no technology available to record olfactory processing in normal human subjects, as opposed to epileptic patients [107,108], on the cellular level.

Great progress in finding behavioral correlates of neural processing has been achieved in vision research in primates. Single unit recording combined with behavioral tasks requiring decision making revealed the role of unit neuronal activity in forming behavioral actions [1].

The most relevant work in olfaction is Karpov's study in the rabbit [39]. Rabbits were trained to identify food by odor cues while OB unit responses were recorded. Among other factors, the author found correlations between neuronal responses and the animal's decision to act. The level of these experiments is much simpler than that performed in primate vision, but it is a promising direction for understanding neuronal computations in olfaction.

Recent behavioral studies in rodents on odor discrimination come close to the state of the art in psychophysics experiments in primate vision. Uchida and Mainen [109] and Abraham et al. [110] performed response time experiments in odor discriminations. Both groups tried to correlate glomerular imaging studies with mouse performance on odor discrimination tasks of different difficulties. Two olfactory stimuli which excited more overlapping dorsal glomerular patterns were harder to discriminate: in Uchida and Mainen's behavioral paradigm [109] rats performed at lower accuracy, and in Abraham et al.'s paradigm [110], mice spent longer times solving the harder discrimination problem. Not surprisingly, the difficulty of the odor discrimination task is higher when the pattern of primary receptor excitation is more similar. The discrimination power presumably depends on how similar patterns of glomerular activation are analyzed and processed further at the next stages of neural processing. In olfaction, decorrelation of similar patterns was demonstrated in the study of zebra-fish olfactory bulb at the level of mitral cell responses in acute preparations [111,112]. Analogous experiments in the mammalian olfactory system are still lacking.

While the first step in understanding neural mechanisms of olfactory perception and odor-guided behavior is looking for correlates between an average behavioral performance for multiple animals and the corresponding average neural processing, the next important step is establishing such correlates for the same animal during the same behavioral task, in the best case on trial by trial measurements.

## 5. Conclusion

For more than 50 years the study of olfactory information processing in behaving animals has progressed more slowly than audition and vision. The reasons for this are rather obvious: (1) we still lack basic understanding of olfactory stimulus space; (2) it is much harder to monitor and control olfactory stimuli than visual or auditory stimuli; (3) olfaction is usually considered less relevant to human behavior (but see [113]); (4) it is relatively hard to obtain single cell recordings from olfactory brain

areas in behaving animals; and (5) in contrast to vision, olfactory peripheral neuronal dynamics in awake and anesthetized animals are vastly different, which makes their study much more difficult.

There are, however, factors that will accelerate this field. Fast progress in developing new genetic tools to monitor, control and modify brain circuits make mice very attractive for neurobiology research. Olfaction has very high behavioral relevance for mice. Recently developed olfactory behavioral paradigms [109,110,112,114,115] set a very high standard for psychophysical studies. Recording techniques suitable for studies of small awake behaving animals are becoming more available and reliable [32,116,117]. Like vision in primates, olfaction in mice will become a new window into the higher-level functions of the brain.

The first questions that need to be answered are (1) how are olfactory stimuli coded in the olfactory bulb of the awake animal? (2) How does learning modify the olfactory code? (3) What is the neuronal basis of decisions made during odor-guided tasks? (4) What information does the activity of MOB neurons convey to other parts of the brain?

Most of these questions were formulated in the pioneering works of Adrian [30,31]. Application of new recording technology, molecular genetic tools and quantitative psychophysical methods to the awake behaving animal during odor-guided computations is beginning to shed light on some of these basic questions.

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